

Board Assurance Framework (BAF)

Summary March 2019

Risk Scoring Matrix and Colour Codes					
	Likelihood				
Consequence	1. Very Unlikely	2. Unlikely	3. Likely	4. Very Likely	5. Almost Certain
5. Catastrophic	5	10	15	20	25
4. Major	4	8	12	16	20
3. Moderate	3	6	9	12	15
2. Minor	2	4	6	8	10
1. Negligible	1	2	3	4	5

Risk summary

Risk Number:	Strategic Outcome:	Risk description:	Executive lead and lead committee	Risk score
1	We are able to deliver a sustainable financial model	If there are central changes to the tariff and market forces factor which have a disproportionate impact on MEH as a single specialty trust then this will have a significant adverse impact on the long term financial viability of the organisation and its ability to deliver the current level of service to patients.	Chief financial officer Finance committee	5x3 (15)
2	We will have an infrastructure and culture that supports innovation	If the key assumptions behind Project Oriel are not achieved then there may be insufficient capital and resources available leading to a failure to be able to deliver a new facility that is fit for purpose and improves the patient and staff experience.	Director of strategy Capital scrutiny committee	5x3 (15)
3	We will pioneer patient-centred care with exceptional clinical outcomes and excellent patient experience	If the trust fails to comply with the CQC fundamental standards and if actions arising from the CQC visit are not implemented at sufficient pace then clinical standards may not be met leading to significant patient harm, deterioration in patient outcomes, a failure to maintain a CQC rating of 'good' and a serious reputational risk to the trust.	Director of nursing and AHPs Quality & safety committee	5x3 (15)
4	We are able to deliver a sustainable financial model	If there is continued or increased turbulence in the commissioning landscape then this will lead to increasing pressure on services, more notices of termination and tendering of services leading to loss of contracts and income, a significant impact on staff and their ability to deliver services at a high standard, and confusion and lack of continuity for patients, affecting their care.	Chief financial officer Finance committee	5x3 (15)
5	We will attract, retain and develop great people	If the trust does not have a robust workforce plan in place then there will be staff shortages and skill gaps leading to insufficient numbers of staff available in key areas and a subsequent impact on the quality of patient care, pressure on staff and a decrease in morale which will affect both the staff and patient experience.	Director of workforce & OD People and culture committee	4x3 (12)
6	We will attract, retain and develop great people	If engagement with staff is ineffective and inconsistent then they will have a lack of confidence in the organisation's approach to workforce issues leading to poor staff retention and morale, deterioration in the quality of patient care and a risk to the trust's reputation as an employer of choice.	Director of workforce & OD People and culture committee	3x4 (12)
7	We will pioneer patient-centred care with exceptional clinical outcomes and excellent patient experience	If the trust fails to identify or address poor clinical practice and learn the lessons then there could be multiple serious incidents leading to significant patient harm, a deterioration in patient outcomes and experience, regulatory intervention or damage to reputation.	Medical director Quality & safety committee	5x2 (10)
8	We will pioneer patient-centred care with exceptional clinical outcomes and excellent patient experience	If a 'no deal' Brexit is in place on March 2019 then there will be a significant impact in a number of areas, leading to a reduction in the ability to attract the best talent to the trust from a global market, risk to the continued availability of drugs and supplies from European Union based companies and our ability to attracting research funding.	Chief executive People and culture committee	5x3 (15)